

Homotopy Type Theory

the confluence of logic and space

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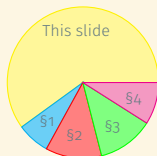
The journey ahead

1. Foundations

2. Type Theory

3. Homotopy Type Theory

4. Univalence



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Foundations

Motivation

- Why should there be a ‘the’ foundation?
 - Euclid – who cares if l ‘is’ $\{(x, y) \in \mathbb{R} \times \mathbb{R} \mid \dots\}$
 - Arithmetic – who cares if $2 \in 3$
- Set TheoryTM does not understand *structures* and their *equalities*:
 - We can ask bad questions: $|7|$, $\text{succ}(\mathbb{C})$, $\pi \cap e \in \mathbb{Q}$, ...
 - groups $G \cong H \rightsquigarrow$ “everything that’s true of G is true of H ”
 - vs $\emptyset \in G$
- In Set Theoretic foundations like ZF:
 - Technically can’t *construct* anything
 - Infeasible to actually work *in* the foundations
 - Nothing about *structures* to be gained by doing so

Our foundations should reflect how we do mathematics

The single most exciting thing about this

A technical argument by a trusted author, which is hard to check and looks similar to arguments known to be correct, is hardly ever checked in detail.

~ V. V.

A foundation amenable to $\left\{ \begin{array}{l} \text{computer verification} \\ \text{proof assistance} \end{array} \right.$

Proofs *are* programmes, and may be run!

Type Theory

You are already a type theorist

Instead of 'everything is set', why not have different **types** which reflect the *qualitatively* different partitions into which we naturally place things?

N		type of natural numbers	
Z		type of integers	
Mon		type of monoids	
0		the 'empty' type	
1		the 'singleton' type	
U		the 'universe' type	← B

A typical solution

Basics

- Types have terms, $0 : \mathbf{N}, 1 : \mathbf{N}, \dots$, and terms belong to a *unique* type.
- Given types A, B , we can form more types: $A \times B, A + B, A \rightarrow B, \dots$
- These new types behave as one might expect, if we have $a : A, b : B$ then $(a, b) : A \times B$.
- Types come equipped with certain functions:
 $\text{succ} : \mathbf{N} \rightarrow \mathbf{N}, \text{pr}_A : A \times B \rightarrow A, \text{app}_{A,B} : A \times (A \rightarrow B) \rightarrow B$.

Note: the statement ' $a : A$ ' is not a proposition, it may not be proven or disproven, it is data.

An elaboration

Some types are *inductive*:

- To define a function from the type $A + B$ it suffices to perform case analysis,
- If 0 is the nullary sum then to define a function from 0 it suffices.
- $\text{ind}_C^{A+B} : (A \rightarrow C) \times (B \rightarrow C) \rightarrow ((A + B) \rightarrow C)$
- $\text{ind}_C^0 : 0 \rightarrow C$
- $\text{ind}_C^{\mathbf{N}} : C \times (C \rightarrow C) \rightarrow (\mathbf{N} \rightarrow C)$
- (Surprise?) To define a function from the type $A \times B$ it suffices to define it on all pairs (a, b) .

From a humble proposition

What does it mean to work 'in' this system, and 'prove' things?

Propositions as types (P.A.T.)

Encode propositions as types P and proofs as terms $p : P$.

Logic	Types
P	P
proof of P	$p : P$
$P \wedge Q$	$P \times Q$
$P \vee Q$	$P + Q$
$P \implies Q$	$P \rightarrow Q$
$\neg P$	$P \rightarrow 0$

Let's implement one of the basic tools of propositional logic,

A DeMorgan Law

$$((\neg P) \wedge (\neg Q)) \implies \neg(P \vee Q)$$

The translation

$$\text{DeMorgan} : ((\neg A) \times (\neg B)) \rightarrow \neg(A + B)$$

DeMorgan DeRivation

We want to build a term of type $((\neg A) \times (\neg B)) \rightarrow \neg(A + B)$

- It's enough to define it on input $\text{inp} : (\neg A) \times (\neg B)$, or (n_a, n_b) where $n_a := \pi_1(\text{inp}) : \neg A$ and $n_b := \pi_2(\text{inp}) : \neg B$
- Now $\text{DeMorgan}(n_a, n_b) : \neg(A + B) \equiv (A + B) \rightarrow 0$
- So again its enough to define it on input $z : A + B$, but by induction it's enough to define it on $a : A$ and $b : B$ separately
- Thus we must give $\text{DeMorgan}(n_a, n_b)(a) : 0$, so the puzzle is to inhabit 0 with the context

$$a : A, n_a : A \rightarrow 0, n_b : B \rightarrow 0$$

- Just apply n_a to a !

We want to build a term of type $((\neg A) \times (\neg B)) \rightarrow \neg(A + B)$

Putting everything together

$\text{DeMorgan} := (n_a, n_b) \mapsto \text{case}_{A,B}(a \mapsto n_a(a), b \mapsto n_b(b))$

where **case** is the induction for $+$.

This is a small taste of what working in type theory is like.

How do we express a predicate over a type?

Take P.A.T. literally,

A first pass

A predicate P on A should be something that gives a type $P(a)$ for $a : A$ which is inhabited if $P(a)$ is true.

Dependent types

A predicate on A should be a term $P : A \rightarrow U$

Is that all? Well it depends...

Definition

We allow ourselves to form the type $A \rightarrow U$. Terms B of this type are *dependent types* or *type families* varying over A .

We also extend the product forming operation:

Definition

Given $A:U$ and $B:A \rightarrow U$, we define

- the *dependent product*, $\prod(a:A), B(a)$, to be the type comprising terms $f:(a:A) \rightarrow B(a)$
- the *dependent sum*, $\sum(a:A), B(a)$, to be the type comprising terms $(a, b: B(a))$

Propositions as types, or, a pronunciation guide

Idea: encode logic statements as types, proofs as terms.

Logic	Types
Proposition on A	$A \rightarrow \mathbf{U}$
proof of $P(a)$	$p : P(a)$
$A \implies B$	$A \rightarrow B$
$A \wedge B$	$A \times B$
$A \vee B$	$A + B$
$\forall a[P(a)]$	$\prod(a : A), P(a)$
$\exists a[P(a)]$	$\sum(a : A), P(a)$

“Proof relevance”

The theorem of choice

Classically

$$\forall a \in A[\exists b \in B_a[R(a, b)]] \implies \exists c \in (\prod_A B_a)[\forall a \in A[R(a, c(a))]]$$

Typically

$$\text{ac: } \prod_{(a:A)} \sum_{(b:B)} R(a, b) \rightarrow \sum_{(c:\prod_{(a:A), B})} \prod_{(a:A)} R(a, c(a))$$

This is *true!*

In fact, the conclusion is *equivalent* to the antecedent.

Homotopy Type Theory

Identity crisis

How should we express equality?

P.A.T. \rightsquigarrow for $a, b : A$ there is a type $a =_A b$.

Equality is detected by relations $R : A \rightarrow (A \rightarrow U)$, but these must be *reflexive*.

“All equations are lies...or useless”

Reflexivity: $\text{refl}_a : a =_A a$

Universal property:

$$\prod(a : A), R(a, a, \text{refl}_a) \simeq \prod(a, b : A), \prod(p : a =_A b), R(a, b, p)$$

Definition

With $a, b, c : A$, $p : a =_A b$, $q : b =_A c$ we can construct

- $p^{-1} : b =_A a$
- $p \blacksquare q : a =_A c$

And we may give terms witnessing

- **lunit** : $\text{refl}_a \blacksquare p = p$
- **linv** : $p^{-1} \blacksquare p = \text{refl}_a$
- **assoc** : $(p \blacksquare q) \blacksquare r = p \blacksquare (q \blacksquare r)$

Note: these are *not* 'strict' equalities, we can only prove them as propositions in our type theory.

The analogy

Type Theory	Category Theory	Topology
types A, B	∞ -groupoids	spaces
functions $f: A \rightarrow B$	∞ -functors	continuous maps
equality $p: a = b$	equivalence	path
reflexivity $\text{refl}_a: a = a$	identity	constant path
symmetry $p^{-1}: b = a$	inverse	path reversal
transitivity $p \cdot q: a = c$	composition	path concat.

Logic	Topology
propositions	spaces
implications	continuous maps
equalities	paths

Functions are ∞ -functors

We think of types as ∞ -groupoids.

A function $f : A \rightarrow B$ acts on morphisms:

$$\mathbf{ap}_f(a, b) : (a =_A b) \rightarrow (fa =_B fb)$$

Dependent types are fibrations

We think of $\mathbf{pr}_1 : (\sum (a : A), B) \rightarrow A$ as a fibration.

A path $p : a =_A a'$ in the base space acts on fibres:

$$\mathbf{transport}_{A,B}(a, a', p) : B(a) \rightarrow B(a')$$

Univalence

Function extensionality

Given $f, g : A \rightarrow B$, what should $f = g$ be?

Definition

Given $f, g : \prod (a : A), B$ define $f \sim g \equiv \prod (a : A), (fa =_B ga)$ as the type of *homotopies* between f and g .

“a proof of a predicate is determined by those elements for which the predicate holds”

Definition

Function extensionality is the *axiom* that there is a term

$$\text{funext} : f \sim g \rightarrow f = g.$$

The analogous question for types

Given $A, B : \mathbf{U}$, what should $A =_{\mathbf{U}} B$ be?

We have a notion of equivalence for types $A \simeq B$, and a canonical term $\text{idtoeqv} : (A =_{\mathbf{U}} B) \rightarrow (A \simeq B)$

Definition

Univalence is the *axiom* that idtoeqv is an equivalence. We name its quasi-inverse

$$\text{ua} : (A \simeq B) \rightarrow (A =_{\mathbf{U}} B).$$

Facts

- Univalence implies function extensionality
- Consistent to assume (Voevodsky's model in \mathbf{sSET})
- Consequently, for any proposition $P : \mathbf{U} \rightarrow \mathbf{U}$ and witness $A \simeq B$, one cannot show $P(A)$ but not $P(B)$.
- By the *structure identity principle*, this means that many algebraic things are univalent too.

Univalence for the working mathematician

To get a flavour of the S.I.P., let's pick our favourite toy algebraic structure, ~~affine schemes~~ 'sets' with a binary operation

$$\text{Magma} \equiv \sum (A : \text{Set}), A \rightarrow (A \rightarrow A)$$

Audience Participation

Given $(A, \star), (B, \bullet) : \text{Magma}$, what does equality mean?

$$(f : A \simeq B, t : \prod (a, b : A), f(a \star b) =_B (fa) \bullet (fb))$$

happily
funext

$$(f : A \simeq B, s : (f \star =_{B \rightarrow (B \rightarrow B)} \bullet (f \times f)))$$

idtoeqv
ua

$$(q : A =_{\cup} B, r : \text{transport}(q, \star) =_{B \rightarrow (B \rightarrow B)} \bullet)$$

generic
lemmas

$$p : (A, \star) =_{\text{Magma}} (B, \bullet)$$

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