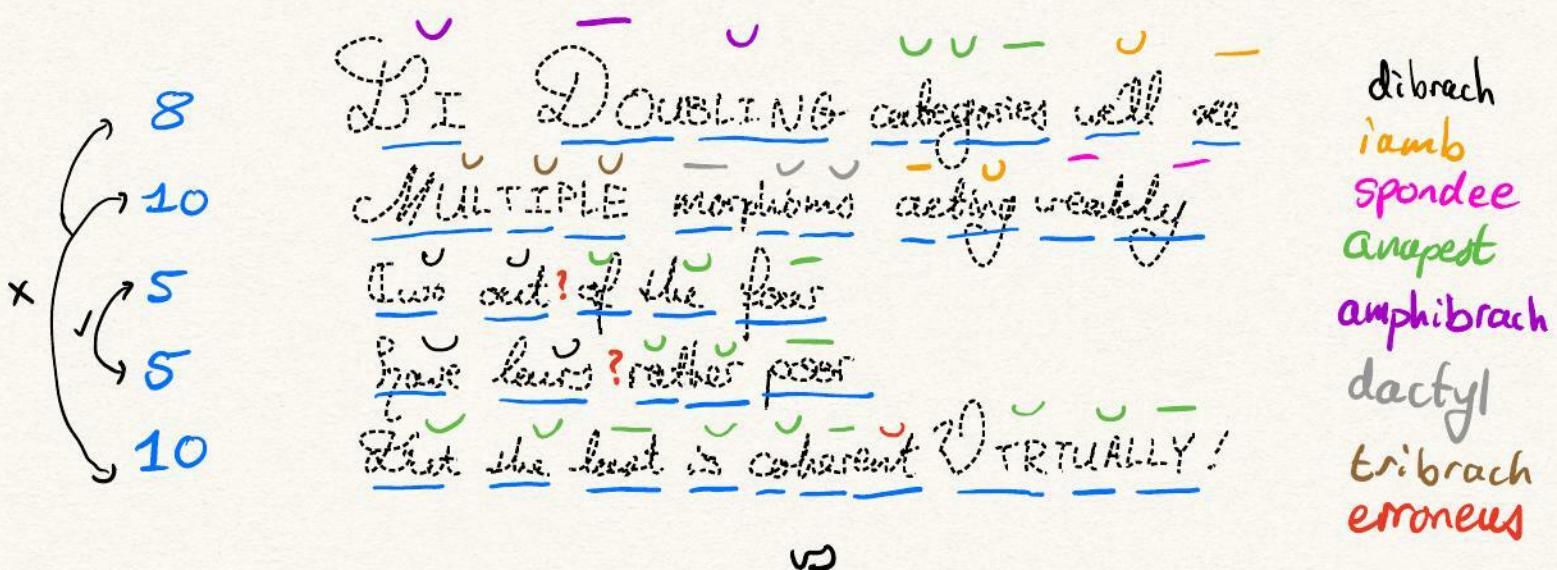


Bi — DOUBLING categories well see
 MULTIPLE morphemes acting weakly
 Two out of the four
 have laws rather poor
 But the least is coherent \Rightarrow VIRTUALLY!

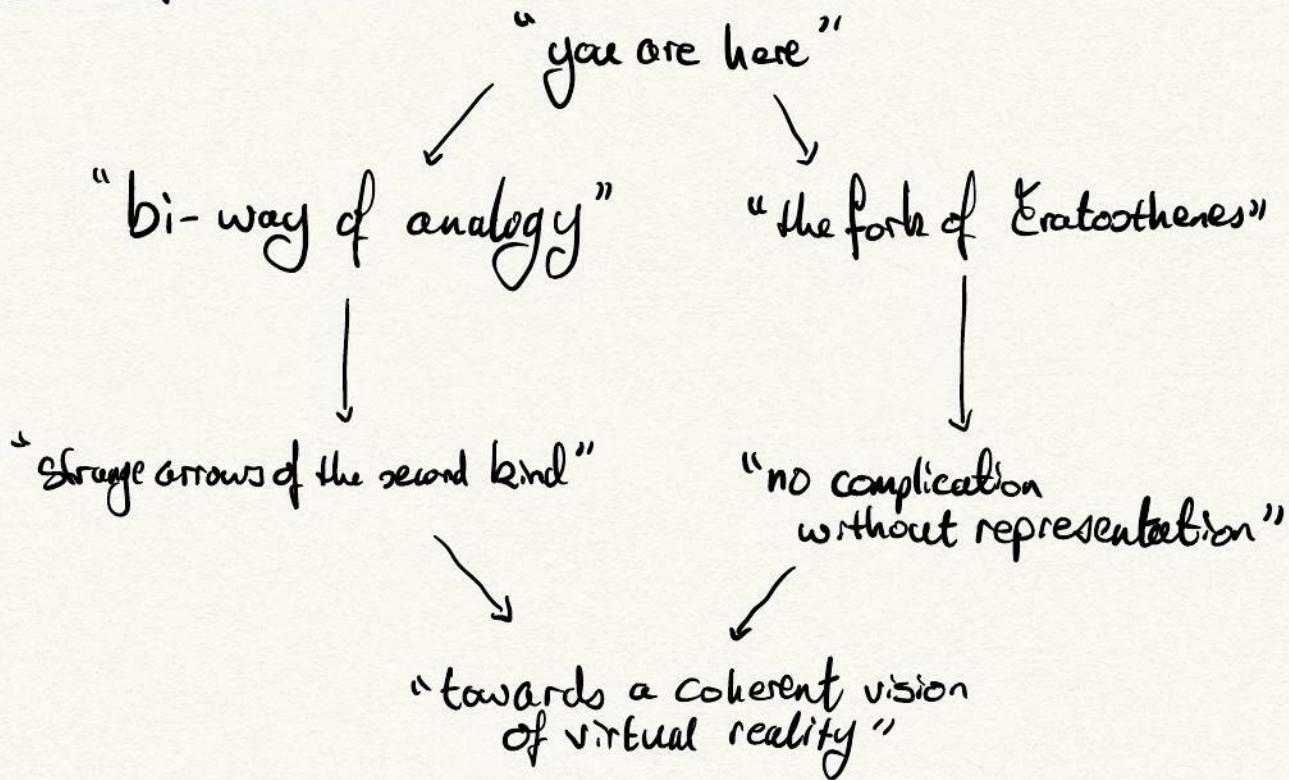
a ramble for the JHU Category Theory seminar
 by Eslil Clugman, 2020/09/16
 (with apologies)



da da DAH / da da DAH / da da THING
 da da DAH / da da DAH / da da DING
 da da DAH / da da OH
 da da DAH / da da NO
 da da DAH / da da DAH / da da SING
 (pure anapest)

$$\frac{12 + 144 + 20 + 3\sqrt{4}}{7} + 5 \times 1 = 9^2 + 0$$

Table of contents:



Outlook: this diagram is commutative

=====

You are here

Recall monoidal categories: $(\mathcal{B}, \otimes, I, \rho, \lambda, \kappa)$ + laws

monoidal functors: $(F, \varphi_I, \varphi_{\cdot, \cdot})$ + laws (lax, strong, strict, ...)

let's keep in mind especially

$(\text{END}(\mathcal{B}), \circ, \text{id}_{\mathcal{B}}, \dots)$

$(\text{Psh}(\mathcal{B}^{\text{op}} \times \mathcal{B}), (F \otimes G)(a, b) := \int_{c \in \mathcal{B}} F(a, c) \times G(c, b), \quad \mathcal{B}(-, -), \dots)$

} embeds

If this looks upside down
you might be in Australia

$(\text{Rel}(A), \text{relation composition, diagonal, \dots})$

} embeds

$(\text{GenRel}(A), \text{pullback, diagonal, \dots})$

$X \xrightarrow{f} A \times A \text{ objects}$
 $X \xrightarrow{f} A \times A \text{ morphisms}$
 \downarrow
 $Y \xrightarrow{g}$

$({}_R \text{Mod}_R, \otimes, R, \dots)$

Question: The data seems reasonable enough, but why these laws?

- Are there others which give the "same" notion?
- If so, what really are monoidal categories?

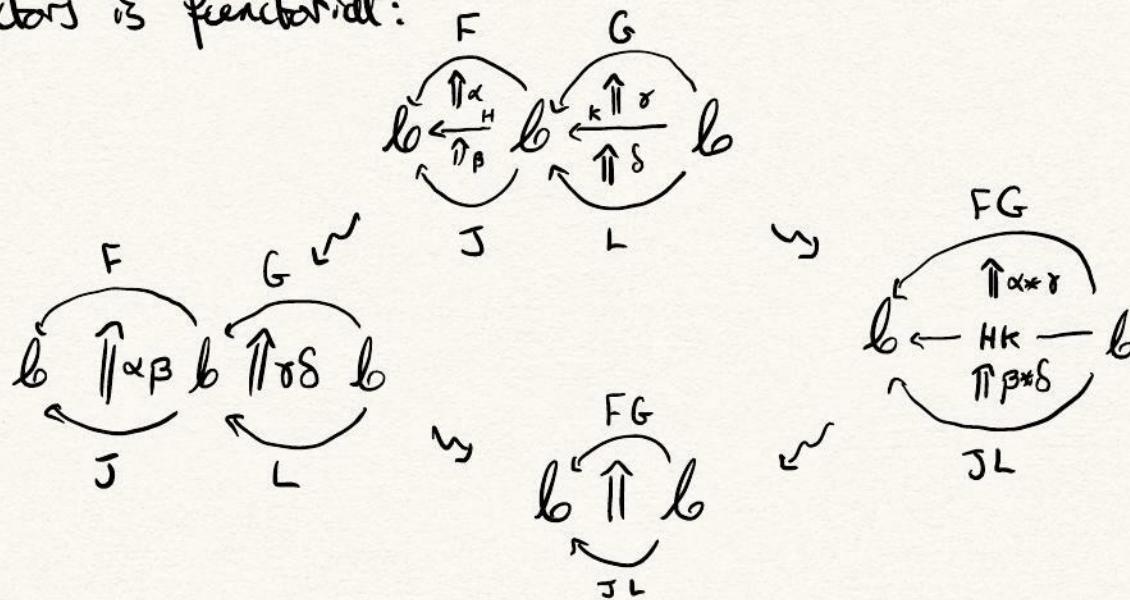
=

Di-way of analogy

Question: If a **monoid** is a one-object **category**
then a **monoidal category** is a one-object _____?

We already have a hint. $(\text{END}(b), \circ, \text{id}_b, \dots)$ can be recovered by looking at the **CAT** of categories, functors, and natural transformations.

The monoidal structure carried by $(\text{END}(b), \circ, \text{id}_b, \dots)$ is a specialisation of the fact that $\text{CAT}(b, b)$ is a category and horizontal composition of functors is functorial:



In particular, $(\text{End}(b), \circ, \text{id}_b, \dots)$ is a 1-object 2-category.

BUT: a 1-object 2-category is always a strict monoidal category.

→ What do we have to do to the notion of 2-category to extract general monoidal categories?

or: "how to horizontally categorify"

=

"Definition": A bi-category \mathcal{K} comprises

objects: A, B, \dots

1-cells: $A \xleftarrow{a} A'$

2-cells: $A \xleftarrow[f]{\alpha} B$

of which there are specified identity 1- and 2-cells

$$A \xleftarrow{A} A \quad A \xleftarrow[f]{\alpha} B$$

along with operations of 1-cell composition $A \xleftarrow{f} B \xleftarrow{g} C \rightarrow A \xleftarrow{fg} C$

and 2-cell composition

$$A \xleftarrow[f]{\alpha} B \rightarrow A \xleftarrow[h]{\beta} B$$

so that $\mathcal{K}(A, B)$ is a category,

and $\mathcal{K}(A, B) \times \mathcal{K}(B, C) \xrightarrow{\circ} \mathcal{K}(A, C)$ is a functor

but: at the 1-level things hold only up to 2-isomorphisms:

$$\left\{ A \xleftarrow{f} B \xleftarrow{g} C \xleftarrow{h} D \right\}$$

$A \xleftarrow[s]{\alpha} B \xleftarrow{\beta} C \xleftarrow{\gamma} D$ and $A \xleftarrow[id]{f} B, A \xleftarrow[id]{g} C, A \xleftarrow[id]{h} D$

which are required to be "natural" and satisfy the same flavour of laws as monoidal categories.

=

Examples

Monoidal category

$(\text{End}(\mathcal{B}), \circ, \text{id}_{\mathcal{B}}, \dots)$

is a "horn" in the bi-category

CAT *

$(\text{Psh}(\mathcal{B}^{\text{op}} \times \mathcal{B}), F \otimes G, \mathcal{B}(-, -), \dots)$

Prof, where objects are 1-cells $\mathcal{B} \rightarrow \mathcal{D}$
are $F: \mathcal{B}^{\text{op}} \times \mathcal{D} \rightarrow \text{SET}$

$(\text{Rel}(A), \text{relation composition}, \text{diagonal}, \dots)$

Rel, where objects are sets
1-cells $A \rightarrow B$ are $R \subseteq A \times B$
2-cells \subseteq

$(\text{GenRel}(A), \text{pullback}, \text{diagonal}, \dots)$

SPAN, where objects are sets
1-cells $A \rightarrow B$ $A \xleftarrow{x} B$
2-cells $\begin{smallmatrix} \swarrow & \searrow \\ & \downarrow \end{smallmatrix}$

$({}_R\text{Mod}_R, \otimes, R, \dots)$

Ring, where objects are rings

1-cells $R \xrightarrow{M} S$ bi-mods

2-cells $R \begin{smallmatrix} \nearrow & \nwarrow \\ \uparrow & \downarrow \end{smallmatrix} S$

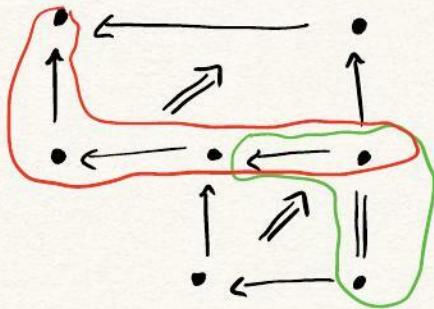
lo: linear maps

"B-mod"

"Mod"

=

Unlike 2-categories, bi-categories pose a problem for pasting diagrams:



for instance, has no fixed meaning.

associativity

unitality

Are there multiple results?

=

"Theorem": Once we fix how we compose boundaries, there is a unique 2-cell result!

[See Thm 3.6.4 Johnson-Yau "2-dimensional categories" (20+ pages of work!)]



=

Monoidal functors also "categoryfy"

"Definition": Given bi-categories \mathcal{K} and \mathcal{K}' , a **pseudo-functor** $(F, \varphi): \mathcal{K} \rightarrow \mathcal{K}'$ comprises functors

$F: \mathcal{K}(A, B) \rightarrow \mathcal{K}'(FA, FB)$ as well as 2-isomorphisms

$$F(A) \xrightarrow{\text{natural}} F(B), \quad (Ff)(Fg)$$

$$F(A) \xrightarrow{\text{natural}} F(A), \quad id_{FA}$$

which satisfy naturality + laws



Just like monoidal functors we can ask about

φ 's are **identities** \rightarrow 2-functor

φ 's are **bis** \rightarrow pseudo-functor

φ 's are **any 2-cells** \rightarrow lax-functor

=

but **lax**-functors shouldn't exist. (right me)



Here are two examples to illustrate the problem:

1) a category enriched in a monoidal category \mathcal{V} is
the same thing as $\text{Ind}_{\mathcal{V}} X \xrightarrow{\text{lax}} \sum \mathcal{V}$

$\text{Ind}_{\mathcal{V}} X(x,y)=1$

$$\text{Ind}_{\mathcal{V}} X \xrightarrow{\text{lax}} \sum \mathcal{V}$$

"Suspension"
one-object bicat

but ... all indiscrete 2-cats are equivalent
... is $\mathcal{V}\text{-CAT}$ a point?

2) there are "pseudo-natural" transformations between
pseudo-functors, but no notion of "—-natural"
transformation is closed under whiskering by lax-functors
 \rightarrow No ^(good) n -category of bi-cats and lax-functors for $n > 1$.

$$K \xrightarrow{\text{lax}} K' \xrightarrow{F} K''$$

=

We'll "fix" this later.

Strange arrows of the second kind

(There's something missing in our world. Next time you find an algebraic geometer, ask them *{joke}*).

We know that ring homomorphisms exist, and we can study module maps over ring maps

$$\begin{array}{ccc} R & \xleftarrow{M} & S \\ f \downarrow & \square & \downarrow g \\ R' & \xleftarrow{M'} & S' \end{array}$$

We know that there are functions of sets, and we can study relation inclusions over functions

$$\begin{array}{ccc} A & \xleftarrow{R} & B \\ f \downarrow & \sqsubset & \downarrow g \\ A' & \xleftarrow{R'} & B' \end{array}$$

•
•
•

Not by accident, every one of Prof, Rel, SPAN, Ring, has some other kind of morphism between its objects and 2-cells which can work over these.

=

"Definition:" of pseudo-double category \mathbb{D} comprises
 objects: A, B, \dots arrows: $A \xrightarrow{P} B$ pro-arrows: $A \xrightarrow{P} B$

squares: $\begin{array}{ccc} A & \xleftarrow{P} & B \\ f \downarrow & \Theta & \downarrow g \\ C & \xleftarrow{Q} & D \end{array}$ as well as

vertical identities

$$\begin{array}{c} A \\ \parallel \\ A \end{array} \quad \begin{array}{c} A \xleftarrow{P} A \\ \parallel \text{ idp } \parallel \\ A \xleftarrow{P} A \end{array}$$

$$\begin{array}{c} A \\ a \downarrow \\ A' \\ a' \downarrow \\ A'' \end{array} \rightarrow \begin{array}{c} A \\ a'a \downarrow \\ A' \end{array}$$

and composition

$$\begin{array}{c} A \xleftarrow{P} B \\ a \downarrow \alpha \quad \downarrow b \\ A' \xleftarrow{P'} B' \\ a' \downarrow \beta \quad \downarrow b' \\ A'' \xleftarrow{P''} B'' \end{array} \rightarrow \begin{array}{c} a'a \downarrow \\ \left[\begin{smallmatrix} \alpha & \\ P & \end{smallmatrix} \right] \\ b'b \downarrow \\ A'' \xleftarrow{P''} B'' \end{array}$$

as well as horizontal identities and composition

• • •

so that pro-arrows and squares $\begin{smallmatrix} \leftrightarrow \\ \parallel \\ \leftrightarrow \end{smallmatrix}$ form a bi-category

• • •

in a way that is compatible with vertical composition

• • •

and vertical identities

• • •

and this is suitably natural

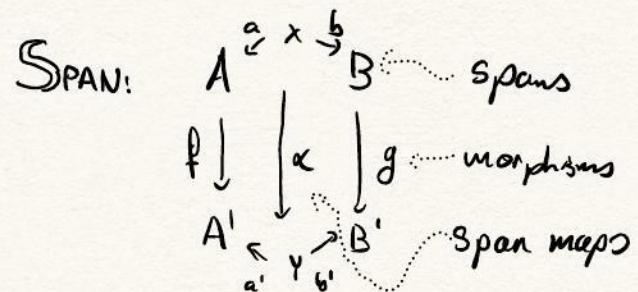
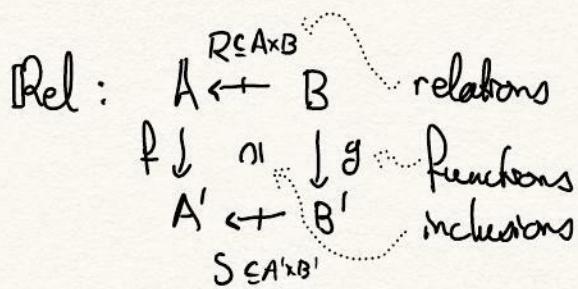
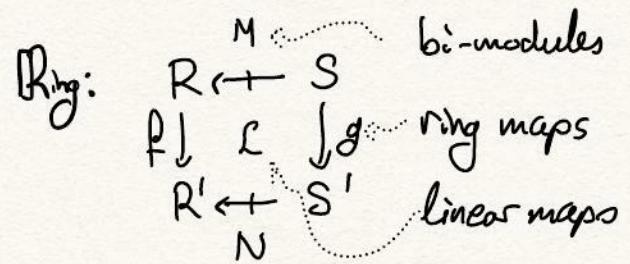
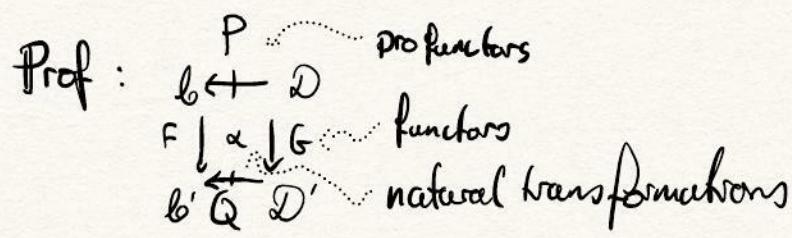
• • •



Note: there isn't generally a vertical 2-category $\begin{smallmatrix} \neq \\ \neq \end{smallmatrix}$

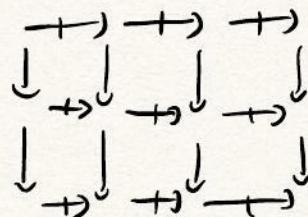
\equiv

Examples:



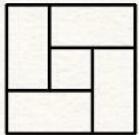
If the horizontal bi-category is a 2-category then the whole thing is known as a **double category**.

Double categories have unambiguous composites



=

but not everything that is "composable" is composable



Dawson "A forbidden-suborder characterisation of binary-composable diagrams in double categories"

In general there should be some theorem for pseudo-double categories analogous to that for bicategories

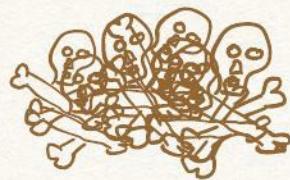
"Fixing boundaries of a pasting* gives a unique square"

(where is it?)

=

* modulo these obstructions

morphisms of pseudo-double categories?



"The ability to reasonably fit the definition of an object in a talk"
↳ probably a good litmus test.

=

Let's try again

You are here

Recall monoidal categories: $(\mathcal{B}, \otimes, I, \rho, \lambda, \kappa)$ + laws

monoidal functors: $(F, \varphi_I, \varphi_{\cdot, \cdot})$ + laws (ax, strong, strict, ...)

let's keep in mind especially $(\mathcal{B}, \amalg, 0, \dots)$, $({}_R\text{Mod}_R, \otimes_R, R, \dots)$

Question: The data seems reasonable enough, but why these laws?
(Are the others?)

If so, and they are different, what really- are monoidal categories?

//

The fork of Eratosthenes

We had previously thought of $(\text{End}(\mathcal{B}), \circ, \text{id}_{\mathcal{B}}, \dots)$ which gave us the impression that monoidal categories were shadows of higher dimensional composition structures (bi-categories, pseudo-double categories).

Let's rewind and map out this space some more.

Consider $(\mathcal{B}, \amalg, 0, \dots)$, $\mathcal{B}(C \amalg D, E) \cong \mathcal{B}(C, E) \times \mathcal{B}(D, E)$

$({}_R\text{Mod}_R, \otimes_R, R, \dots)$ ${}_R\text{Mod}_R(M \otimes_R N, L) \cong R\text{-multilinear maps } (M \times N \rightarrow L)$

so, by Yoneda, do we really need the "functors" \amalg and \otimes_R at all here?

what is primary?

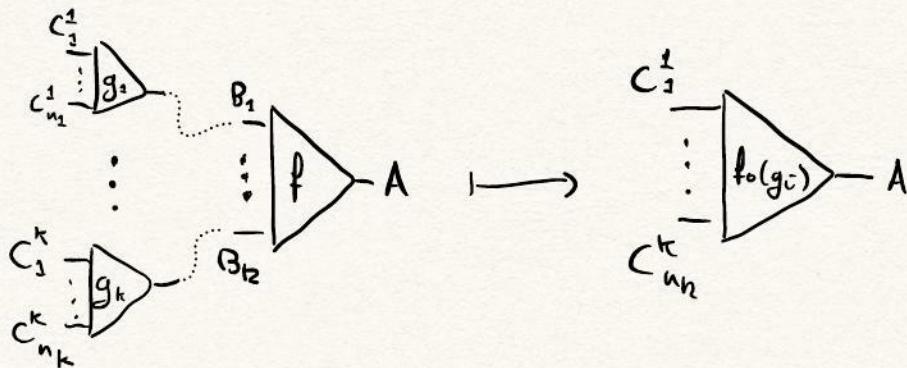
//

No complication without representation

Let's aim for this "multi-linear" maps idea.

"Definition:" A **multi-category** M comprises the data of objects: A, B, \dots and multi-morphisms: $A_1, \dots, A_n \xrightarrow{f} B$
 (including $n=0$, empty domain)

as well as identities $A = A$ and composition operations \circ



which are "multi-associative" and unital.

Examples:

- every monoidal category \mathcal{M} is a multi-category

$$\mathcal{M}(A_1, \dots, A_n; B) := \mathcal{M}(\otimes A_i, B)$$

- every category \mathcal{C} is a multi-category

$$\mathcal{C}(A_1, \dots, A_n; B) := \prod \mathcal{C}(A_i, B)$$

what just happened?

//

IF \mathcal{B} has coproducts then the first and the second multicategories would "be the same". But it didn't need them, we could work with \mathcal{B} the multicategory in precisely the same way!

→ we have **virtualised** the monoidal structure!

We use virtual here to indicate a question of **representability**.

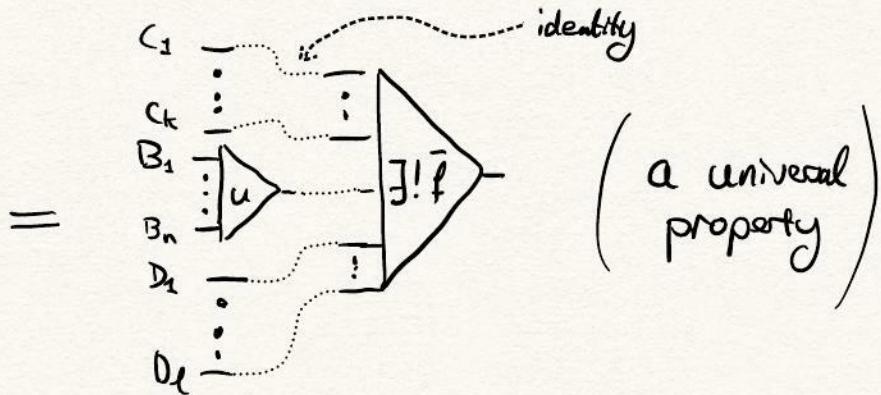
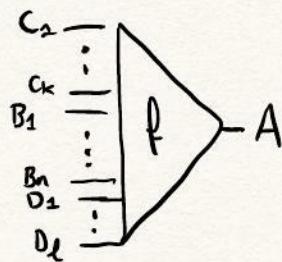
$$\mathcal{B}(A_1, \dots, A_n; B) = \prod \mathcal{B}(A_i, B) \cong \mathcal{B}(\coprod A_i; B)$$

→ a representing object $\coprod A_i \in \mathcal{B}$
for multi-maps out of A_1, \dots, A_n .

How do we detect this in \mathcal{M} ? Which are the multi-categories which are secretly monoidal?

=

"Definition": A **representation** for a list $B_1, \dots, B_n \in \mathcal{M}$ of objects is an object $\bigotimes B_i$ and a multi-morphism $u: B_1, \dots, B_n \rightarrow \bigotimes B_i$ such that



$\forall k, \forall l, \forall f, \exists ! \bar{f}$

"Theorem": M "is a" monoidal category \Leftrightarrow every object has a chosen representation

[Thm 3.3.4 Leinster "Higher Operads, Higher Categories"
// "pre-universal"]

multi-categories show that the laws of a monoidal category are presentations of a universal property!

=

But there's much more to multi-categories than this:

- one-object monoidal categories are commutative monoids and as multi-categories have just one multi-hom. One-object multi-categories are incredibly varied in general and underpin, for example, modern Alg. Top.
This is a category itself; we're saying the O-word
- multi-categories can encode all the multi-sorted strongly regular theories. They give rise to monads, and algebras for these are precisely models
- unlike monoidal categories where there is the tensor $A \otimes B$, we are now free to have many - closer to the real structure.
(Span, $R\text{Mod}_R$, (co)cartesian, ...)

more to the point, multi-functors are just as clarifying

=

Definition: A multi-functor of multi-categories, $F: M \rightarrow N$ is a collection of maps $M(A_1, \dots, A_n; B) \rightarrow N(F A_1, \dots, F A_n; F B)$ which preserve multi-compositions and identities.

Note: This is strict in every way!

What happens if both M and N have chosen representations for each object?

$$\begin{array}{c} B_1 \xrightarrow{\quad \vdots \quad} u^M \xrightarrow{\quad \otimes B_i \quad} \\ \vdots \\ B_n \end{array} \xrightarrow{F} \text{Diagram } M \quad \xrightarrow{F} \quad \begin{array}{c} FB_1 \xrightarrow{\quad \vdots \quad} Fu^M \xrightarrow{\quad F(\otimes B_i) \quad} \\ \vdots \\ FB_n \end{array} = \text{Diagram } N$$

automatically derive maps $\varphi: \bigotimes F B_i \rightarrow F(\bigotimes B_i)$ which obey "all laws" by universal property! [That is, F is lax-monoidal - but there's nothing "lax" about F .]

二

Sends chosen reps. to chosen reps. reps. nothing in particular	In monoidal world is strict - monoidal strong - monoidal lax - monoidal
---	--

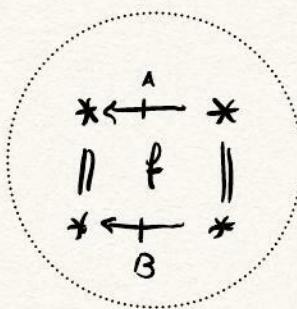
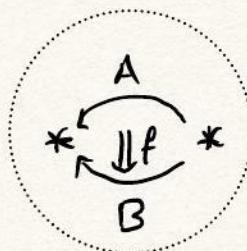
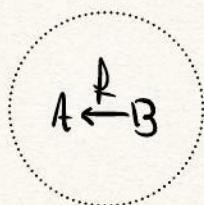
"Monoidal strength = preservation of univ. prop."!

=

Towards a coherent vision of virtual reality

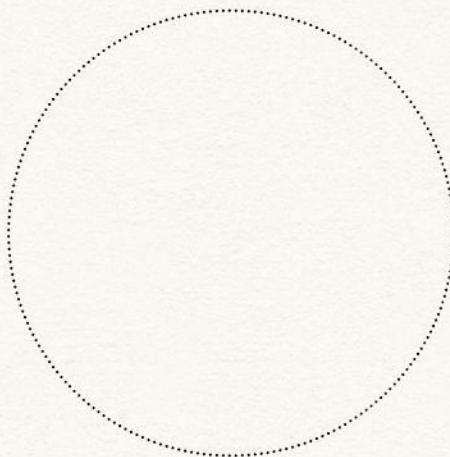
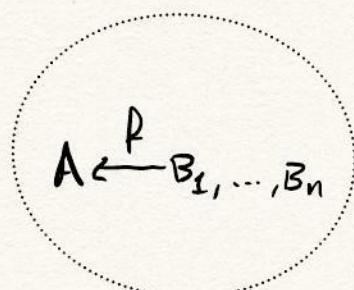
Question: If a **monoid** is a one-object category
 then a ~~monoidal~~ **multi**category is a one-object category?

we have the tools:



M monoidal $\rightsquigarrow \sum M$ one-object bi-cat. $\rightsquigarrow \sum \sum M$ one-object
 vert. discrete
 pseudo-double cat.

M multi-cat. $\rightsquigarrow \sum M$ one-object ...? $\rightsquigarrow \sum \sum M$ one-object
 (remark) vert. discrete ...?



Definition: of **virtual double category** \times comprises

objects: A, B, \dots

vertical morphisms: $f \downarrow \begin{matrix} A \\ B \end{matrix}$

pro-arrows: $A \xleftarrow{P} B$

$$\text{cells: } \begin{array}{ccc} A_1 & \xleftarrow{P_1} & \cdots & \xleftarrow{P_n} & A_{n+1} \\ f \downarrow & \alpha & & & \downarrow g \\ B_1 & \xrightarrow{q} & B_2 & & \end{array}$$

including $n=0$,

$$\begin{array}{c} A_1 \\ f \swarrow \alpha \searrow g \\ B_1 \xleftarrow{q} B_2 \end{array}$$

along with vertical identities

$$\begin{array}{c} A \\ \parallel \\ A \end{array},$$

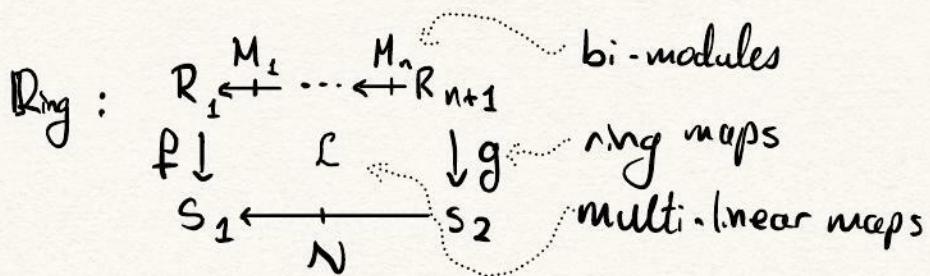
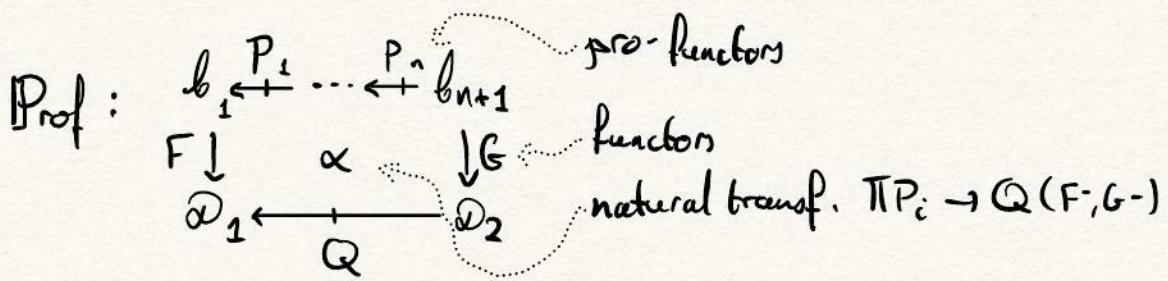
$$\begin{array}{cc} A & \xleftarrow{P} B \\ \parallel & \parallel \\ A & \xleftarrow{P} B \end{array}$$

and vertical compositions

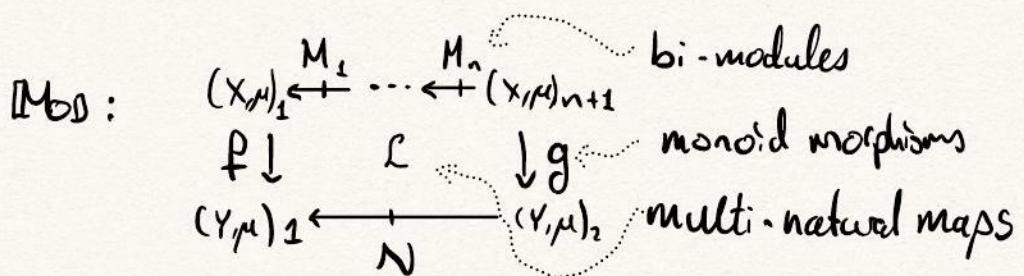
$$\begin{array}{ccc} A & & A \\ g \downarrow & \longmapsto & \downarrow fg \\ B & & C \\ f \downarrow & & \end{array}, \quad \begin{array}{c} g^1 \downarrow \begin{array}{c} \alpha^1 \xleftarrow{P_1^1} \cdots \xleftarrow{P_{k_1}^1} \\ \alpha^2 \xleftarrow{P_1^2} \cdots \xleftarrow{P_{k_2}^2} \\ \vdots \quad \vdots \\ g^n \downarrow \begin{array}{c} \alpha^n \xleftarrow{P_1^n} \cdots \xleftarrow{P_{k_n}^n} \\ \alpha^{n+1} \xleftarrow{P_1^{n+1}} \cdots \xleftarrow{P_{k_{n+1}}^{n+1}} \\ \vdots \quad \vdots \\ f_1 \downarrow \begin{array}{c} \alpha^1 \cdots \alpha^n \xleftarrow{P_1} \\ \beta \xleftarrow{P_2} \end{array} \\ f_2 \downarrow \end{array} \end{array} \\ r \end{array}$$

which are multi-associative and central.

Note: there is no horizontal composition, and cells always have a single target pro-arrow \rightarrow no pinwheel



or more generally, monoids and modules in somewhere



or \mathcal{V} -matrices (without assumptions on \otimes)

or SPAN or Rel or functors and left adjoints

or as we shall see, all X -categories for

$X \in \{1, bi, monoidal, multi, pseudo-double, \dots\}$

:

see Leinster HOHC §5 or

Cutwell-Shulman "A unified framework for generalised multicategories"

Facts: - there is an analogue of representation in a multi-category for strings $A_1 \xleftarrow{P_1} \dots \xleftarrow{P_n} A_{n+1}$ of pro-arrows. These are called **composites** and are "universal" cells

$$\begin{array}{c} A_1 \xleftarrow{P_1} \dots \xleftarrow{P_n} A_{n+1} \\ || \quad \diagup \quad || \\ A_1 \xleftarrow[\otimes P_i]{} A_{n+1} \end{array}$$

- pseudo-double category \Leftrightarrow virtual double category in which every string of pro-arrows has a chosen composite.

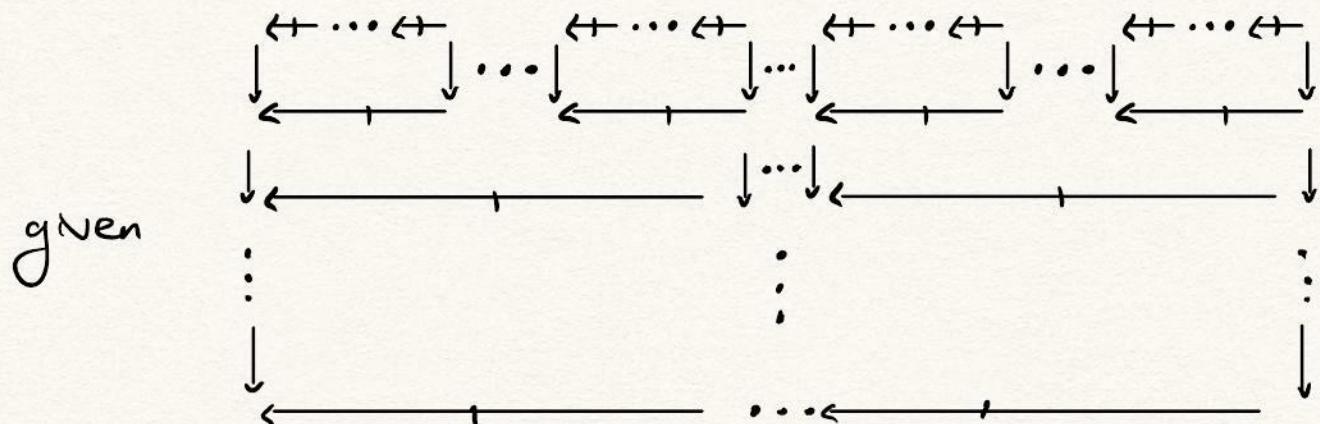
}

bi-category \Leftrightarrow vert. discrete + chosen composites

}

monoidal \Leftrightarrow one-object, vert. discrete + chosen comps

- the theorem about pastings in a vdbl. cat is "almost trivial"



choosing composites for the top and bottom boundaries gives directly, by universal properties, a unique cell between them!

- there are virtual double functors $\mathbb{X} \rightarrow \mathbb{Y}$ which are strict in every way, and if \mathbb{X} and \mathbb{Y} have chosen comps. then

Sends chosen comps. to	In bi-cat world is
chosen comps.	2-functor
comps.	pseudo-functor
nothing in particular	lax-functor



lax functors are strict and are still functors!
We weren't looking at the correct place!

This "fixes" everything

- If we repeat the $\text{Ind}_2 X \xrightarrow{\text{lax}} \Sigma V$ description for virtual double categories we find

"set X enriched in \mathcal{V} monoidal" .3

vert.-discrete
+ single cell for
every boundary

$$\text{Ind}_2 X \xrightarrow[\text{functor}]{\text{vdbl.}} \Sigma, \Sigma V$$

one-object
vert.-discrete

but

for $X \neq Y$ there aren't any "vdbl. equivs"

$$\text{Ind}_2 X \xrightarrow{\cong} \text{Ind}_2 Y$$

because those "only know about" the vertical direction and $x \mapsto y \mapsto x'$ is incomparable unless $x = x'$!

- similarly, there is a good virtual double category of virtual double functors and "whiskering" is not problematic there.

As we'll see in later talks vdbl is the 'correct' backdrop against which to do category theory - the theory of categories.

=

- Morals:
- although \mathbb{Q} is a difficult number, we have the technology
 - (non strict) composition is more readily understood as a universal property than as mysterious laws on operations
 - functoriality is "really" about preservation of these universal properties
 - if we see lax-type things, it's a good indication that something else is preserved strictly.
 - there is a lot of low-hanging fruit in virtual double categories and virtual equipments (next time!), allow me to invite you to explore this powerfully unifying landscape!